



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of:

Jörg WÖRNER

Appl. No. 09/916,231

Confirmation No. 6862

Filed: July 27, 2001

For: OPTOELECTRIC APPARATUS

Art Unit: 2878

Examiner: Patrick J. Lee

Atty. Docket No. 31833-173684

Customer No.



26694

PATENT TRADEMARK OFFICE

Supplemental Information Disclosure Statement

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a Supplemental Information Disclosure Statement of an Information Disclosure Statement that was submitted on October 2, 2002 prior to the issuance of a first Office Action on the merits of the above-identified application. In paragraph 2 of the first Office Action on the merits, the Examiner indicates that the Information Disclosure Statement filed on October 2, 2002, fails to comply with the provisions of 37 CFR §§1.97, 1.98 and M.P.E.P. §609 because an English language version of the search report is not provided. Submitted herewith is an English translation of the pertinent parts of the European Search Report that accompanied the October 2, 2002 Information Disclosure Statement.

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It is respectfully submitted that 37 CFR §§ 1.97-1.98 do not require an English-language version of the search report:

A copy of the translation if a written English-language translation of a non-English-language document or portion thereof, is within the possession, custody, or control of, or is readily available to any individual designated in §1.56 (c). 37 C.F.R. § 1.98 (a)(3)(ii)

At the time of filing the Information Disclosure Statement on October 2, 2002, the law firm of Venable did not have within its possession, or have custody or control of an English-language translation of the European search report. Venable prepared the enclosed English-language translation of the European Search Report in response to the January 30, 2003 Office Action.

Additionally attached is a copy of the 1449 form that accompanied the October 2, 2002 submission for the convenience of the Examiner. The Examiner is requested to consider the cited references and make the same of record by initialling the left-hand side of the 1449 form.

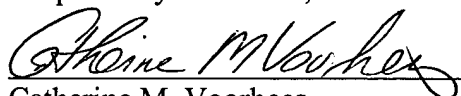
Accordingly, it is respectfully submitted that the references submitted with the Information Disclosure Statement that was filed on October 2, 2002 should have been considered and that the submission of the English-language translation should not be construed as submitting a missing element from the earlier submission. Thus, the references cited in the Information Disclosure Statement were filed on October 2, 2002 and no fee is required. In the event, that the U.S. Patent and Trademark Office believes that the Information Disclosure

Inventor: Jörg WÖRNER
Serial No.: 09/916,231

Statement fee of \$180.00 is required, the U.S. Patent and Trademark Office is authorized to charge the necessary fee in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Date: April 30, 2003

Respectfully submitted,



Catherine M. Voorhees

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Attorney Docket Number

Complete if Known

Application Number

09/916,231

Filing Date

July 27, 2001

First Named Inventor

Jörg WÖRNER

Group Art Unit

2873

Examiner Name

Unassigned

Attorney Docket Number

31833-173684

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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